# CONCURRENTS AND GUIDELINES RECOMMENDATIONS

## PRELIMINARY ANALYSIS

IT IS TOO EARLY TO FULLY ANALYZE
THE IMPACT OF STATUTORY
REQUIREMENTS AND GUIDELINES
REVISIONS ON SENTENCING
PATTERNS IN VIRGINIA.

THE INFORMATION CONTAINED IN THIS REPORT REPRESENTS THE INITIAL REACTION BY JUDGES, ATTORNEYS, PROBATION OFFICERS AND DEFENDANTS TO THE SUBSTANTIAL CHANGES IN FISCAL YEAR 2022.

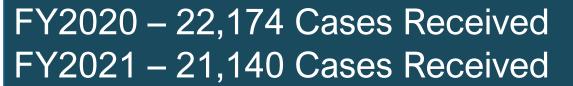




#### FY 2022 - Cases Coded and Keyed\*

Month	2021	2022	Total
JAN		1,387	1,387
FEB		1,712	1,712
MAR		1,836	1,836
APR		1,221	1,221
MAY		242	242
JUN		2	2
JUL	1,762		1,762
AUG	1,858		1,858
SEP	1,705		1,705
OCT	1,723		1,723
NOV	1,519		1,519
DEC	1,469		1,469
TOTAL	10,036	6,400	16,436

<sup>•</sup> Number of Cases as of August 5, 2022



<sup>• 2,700</sup> coded cases to be added by 9/30/22

#### Modification of Recommendation

#### Substantial Assistance, Acceptance of Responsibility or Remorse

#### <u>Definitions Based on Departure Reasons Were Added to the Instructions for FY2022</u>

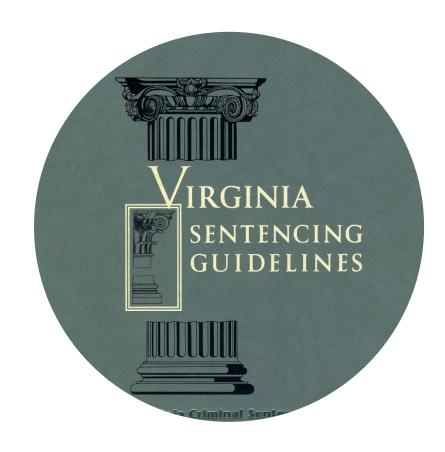
- 1. Showed positive or promising behavior while awaiting sentencing (e.g., drug free, employment, education, lifestyle change, etc.).
- 2. Began rehabilitation process without court intervention; took initiative to make change (e.g., enrolled in or completed drug treatment, mental health counseling, found housing, etc.).
- 3. Demonstrated responsibility for the support and care of family members (e.g., providing financial support, working with social services, etc.).
- 4. Maintained or secured employment or obtained job skills before sentencing.
- 5. Completed school, college, or a training program before sentencing.
- 6. Admitted guilt shortly after the offense, during arrest, etc., and prior to an appearance in court.
- 7. Prevented the crime from escalating into more serious offense (e.g., prevented a death, rape, etc.)
- 8. Current offense is an old crime that was committed when the defendant had a different lifestyle.
- 9. Behavior was out of the norm and likelihood of recidivism is low (e.g., no prior record or limited record; extremely young or elderly).
- 10. Time served is sufficient based on the defendant's demeanor in court or the defendant's demonstrated acceptance of responsibility/expression of remorse prior to appearance in court.
- 11. Substantial assistance as determined by the Commonwealth and accepted by the judge.

Substantial Assistance, Acceptance of Responsibility or Remorse

## BOX CHECKED

1,808 ON 15,511 WORKSHEETS

11.7% OF THE WORKSHEETS



Substantial Assistance, Acceptance of Responsibility or Remorse

## CONCURRENCE

887 CASES NOW IN CONCURRENCE

837 WERE ALREADY IN CONCURRENCE

38 CASES NO CHANGE (MITIGATING)

46 CASES NO CHANGE (AGGRAVATING)

If the judge did not check the modification box, and the sentence was below the historical based guidelines recommendation, a departure reason would be required.

Final Disposition	Fill In After Sentence Has Been Pronounced	EXAMPLE,
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Modification of Recommendation Based on Substantial Assistance, Acceptance of Responsibility or Expression of Remorse

The decision to modify the guidelines recommendation must be made by the judge. If the recommended low end is 3 years or less, the low end is adjusted to no incarceration. If recommended low end is more than 3 years, the low end is reduced by 50%.

If accepted by the court the

Adjusted Range is

TO

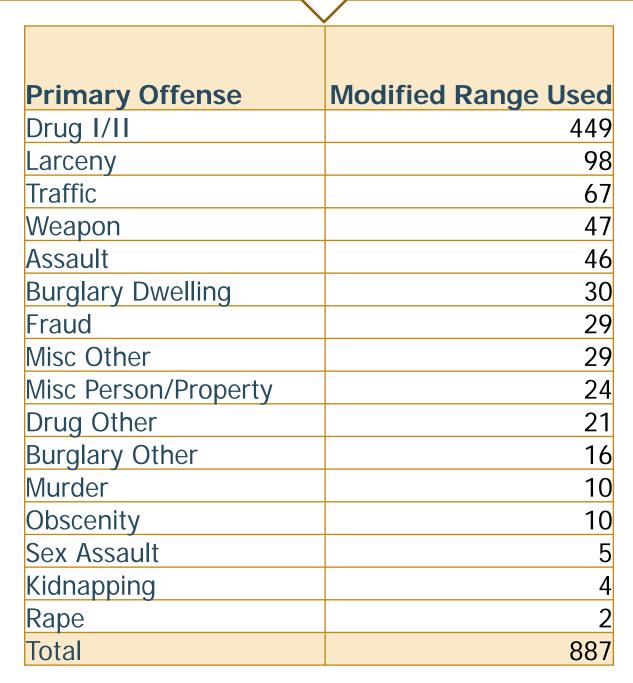


Substantial Assistance, Acceptance of Responsibility or Remorse

## **BOX CHECKED**

Substantial Assistance, Acceptance of Responsibility or Remorse

## CONCURRENCE



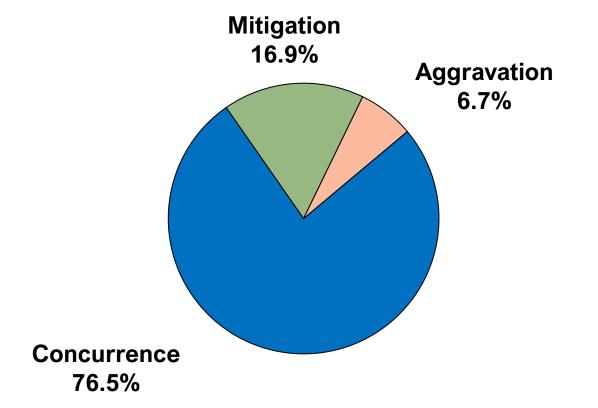
Above are the cases ranked by offense type that are now in concurrence with the Guidelines recommendation. The judge agreed that the defendant provided substantial assistance, accepted responsibility or expressed remorse before sentencing and checked the Modification of Recommendation box.

## Preliminary FY 2022 Judicial Agreement with Guideline Recommendations

#### **General Concurrence:**

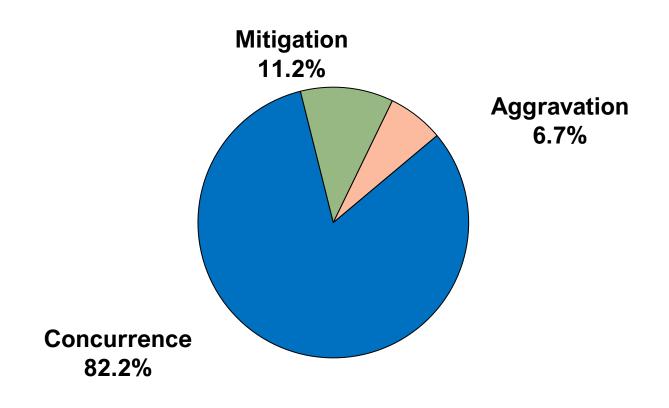
The degree to which judges agree with the overall Guidelines recommendation.

#### **Overall Concurrence Rate**

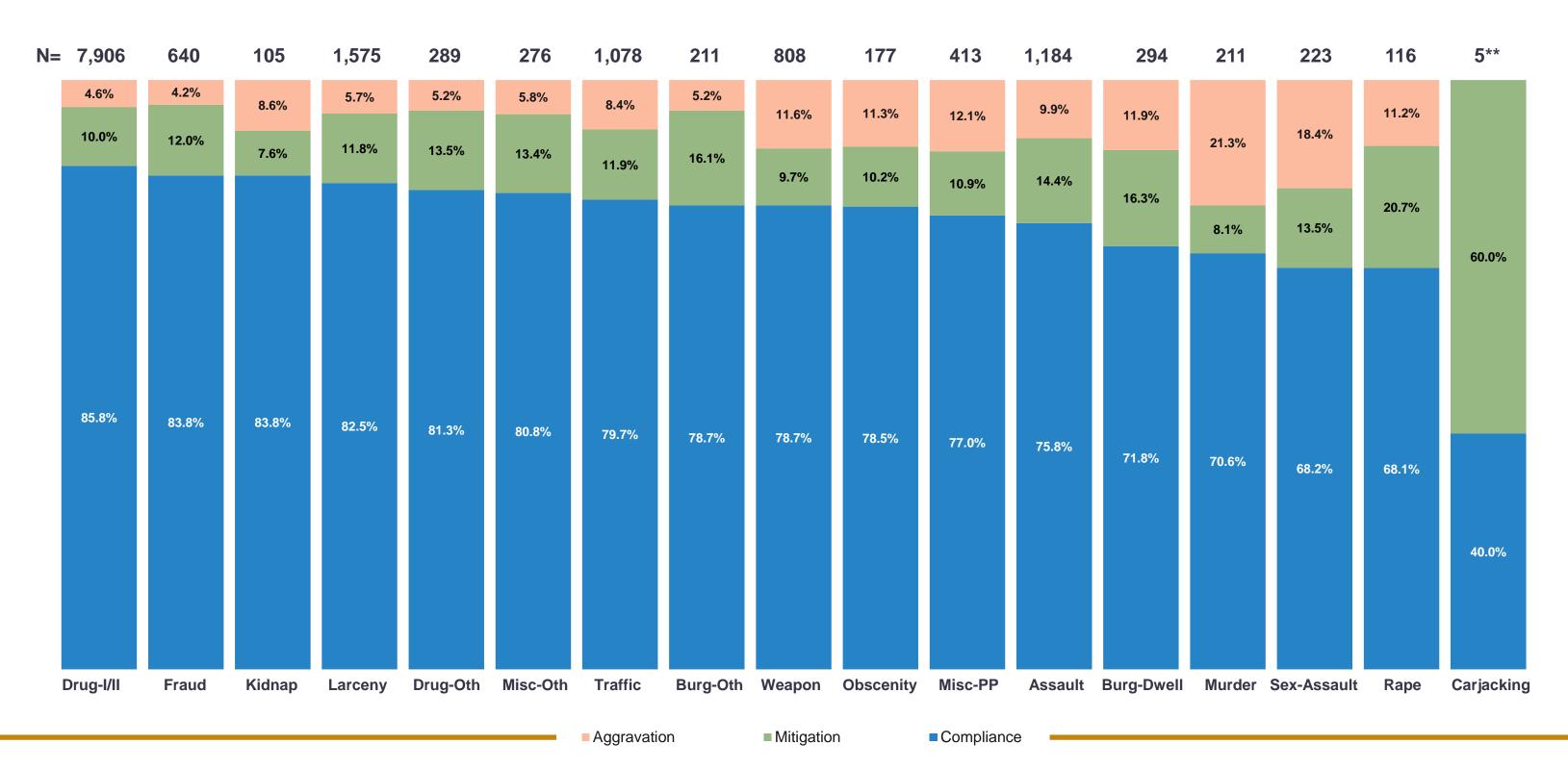


#### **Overall Concurrence Rate**

Substantial Assistance, Acceptance of Responsibility or Remorse



#### Preliminary FY 2022 Concurrence\* by Type of Offense



 $<sup>\</sup>bigcirc$ 



<sup>\*</sup> Concurrence includes Substantial Assistance, Acceptance of Responsibility or Remorse factor (FY22)

<sup>\*\*</sup>There are 26 total carjacking cases with the same sentencing pattern, but most were identified with errors (errors to be resolved by staff)

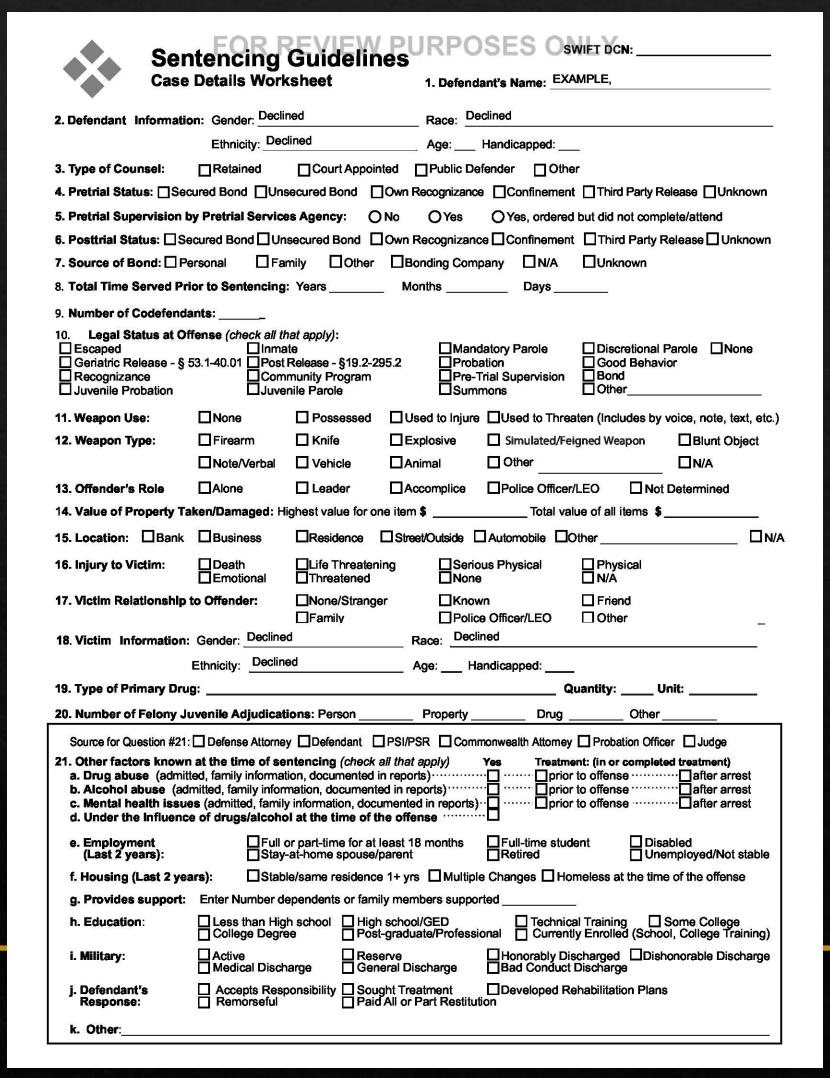
#### ROBBERY CONVICTIONS

#### Under the Revised FY2022 Penalty Structure

Type	Number of Convictions	Median Sentence (Months)
Robbery Causes Serious Injury	1	24.0
Robbery Displaying Firearm	7	42.0
Robbery Causes No Serious Injury	4	15.0
Robbery Use Other Deadly Weapon	2	17.7
Robbery by Threat	6	16.5

Note: When the primary offense was a completed robbery based on the Guidelines cover sheets received.

## Case Details Worksheet Added July 1, 2021



DETAILS WORKSHEET CASE

ALL CRIMES		PERSON CRIMES	
Gender	44.4%	Weapon Used	35.3%
Race	36.2%	Weapon Type	40.7%
Ethnicity	51.0%		
Age	50.1%	Injury to Victim	35.4%
Type of Counsel	38.4%	Victim Relationship	41.6%
Pretrial Status	42.5%	Victim Information:	
Pretrial Supervision	52.3%	Gender	35.1%
Source of Bond	64.1%	Race	27.6%
Legal Status	47.3%	Ethnicity	41.7%
Offender's Role	46.2%	Age	41.2%
Location	86.7%		
Source of Info for Q21	63.7%	PROPERTY CRIMES	
Employment	67.2%	Value of Property	68.3%
Housing	67.6%		
Education	67.2%		
Military	98.5%	DRUG CRIMES	
Defendant's Response	78.5%	Drug Type	49.4%
No Answers for Q21	58.3%		



k. Other:\_\_

Ser	tencing Gu	/JEW PUF	RPOSES O	WIFT DCN:
	Details Workshee		Defendant's Name: EXA	MPLE,
2. Defendant Information	n: Gender: Declined	R	ace: Declined	
	Ethnicity: Declined	A	ge: Handicapped:	_
3. Type of Counsel:	☐Retained ☐C	ourt Appointed P	Public Defender	or
4. Pretrial Status: ☐ Sec	ured Bond Unsecure	d Bond ☐Own Reco	ognizance Confinement	t ☐Third Party Release ☐Unknown
5. Pretrial Supervision b	y Pretrial Services Age	ncy: ONo C	Yes OYes, ordered	but did not complete/attend
6. Posttrial Status: ☐ Se	cured Bond Unsecure	d Bond Own Rec	ognizance Confinement	☐ Third Party Release ☐ Unknown
7. Source of Bond: Pe	ersonal	☐Other ☐Bondi	ing Company ☐N/A	□Unknown
8. Total Time Served Pri	or to Sentencing: Year	s Month	s Days	
9. Number of Codefenda	ınts:			
10. Legal Status at Of ☐ Escaped ☐ Geriatric Release - § 5 ☐ Recognizance ☐ Juvenile Probation	fense (check all that app □Inmate 53.1-40.01 □Post Releas □Community □Juvenile Pa	e - §19.2-295.2 Program	☐ Mandatory Parole ☐ Probation ☐ Pre-Trial Supervision ☐ Summons	☐ Discretional Parole ☐ None ☐ Good Behavior ☐ Bond ☐ Other
11. Weapon Use:	□None □ P	ossessed Used	to Injure Used to Three	aten (Includes by voice, note, text, etc.)
12. Weapon Type:	☐ Firearm ☐ K	nife	sive Simulated/Fei	igned Weapon 🔲 Blunt Object
	□ Note/Verbal □ V	ehicle   Anima	ol Other	□N/A
13. Offender's Role	□Alone □ Lo	eader	mplice Police Officer	/LEO Not Determined
14. Value of Property Ta	ken/Damaged: Highest	value for one item \$	Total val	ue of all items \$
15. Location: Bank	☐Business ☐Re	esidence Street/O	outside Automobile O	Other Dther
16. Injury to Victim:		e Threatening reatened	☐ Serious Physical ☐ None	☐ Physical ☐ N/A
17. Victim Relationship	to Offender: □No □Fa		☐Known ☐Police Officer/LEO	☐ Friend ☐ Other _
18, Victim Information:	Gender: Declined	Race	e: Declined	
	Ethnicity: Declined	Age	: Handicapped:	
19. Type of Primary Dru	9:		Q	uantity: Unit:
20. Number of Felony Ju	venile Adjudications: i	Person Pr	operty Drug _	Other
Source for Question #21:	☐ Defense Attorney ☐ De	efendant PSI/PSR	☐ Commonwealth Attorney	☐ Probation Officer ☐ Judge
21. Other factors known a. Drug abuse (admit b. Alcohol abuse (ad c. Mental health issue	SOL ANALOGO AND	ng (check all that app cumented in reports) documented in report nation, documented in	ply) Yes Treatments)	ent: (in or completed treatment) to offense ········□ after arrest to offense ·····□ after arrest to offense ····□ after arrest
e. Employment (Last 2 years):	□Full or part- □Stay-at-hor	time for at least 18 m ne spouse/parent	onths ☐ Full-time stud ☐ Retired	ent Disabled Unemployed/Not stable
f. Housing (Last 2 yea	ars): ☐Stable/sam	e residence 1+ yrs	☐Multiple Changes ☐ Ho	meless at the time of the offense
g. Provides support:	Enter Number depende	ents or family member	rs supported	-
h. Education:	Less than High scho	ol High school/Gl Post-graduate/	ED Technica /Professional Current	al Training
i. Military:	☐ Active ☐ Medical Discharge	☐ Reserve ☐ General Discha		scharged Dishonorable Discharge Discharge
j. Defendant's Response:	=	ity ☐ Sought Treatm ☐ Paid All or Pari	nent Developed Re	ehabilitation Plans



### NUMBER OF PROBATION SRRs & PVGs RECEIVED

TOTAL NUMBER OF ALL FORMS RECEIVED. INCLUDES, PROBATION VIOLATIONS, GOOD BEHAVIOR AND PROCEDURAL FORMS.

FORMS RECEIVED AND KEYED BY AUGUST 15, 2022, ARE INCLUDED.

Month	2021	2022	Total
JAN		958	958
FEB		1,143	1,143
MAR		1,378	1,378
APR		1,085	1,085
MAY		853	853
JUN		141	141
JUL	1,216		1,216
AUG	1,259		1,259
SEP	1,207		1,207
OCT	1,131		1,131
NOV	1,083		1,083
DEC	1,038		1,038
TOTAL	6,934	5,558	12,492

MISSING	58	NELSON	39
ACCOMACK	42	NEW KENT	54
ALBEMARLE	30	NORTHAMPTON	20
ALLEGHANY	76	NORTHUMBERLAND	5
AMFLIA	17	NOTTOWAY	23
AMHERST	70	ORANGE	16
APPOMATTOX	30	PAGE	46
ARLINGTON	58	PATRICK	74
AUGUSTA	182	PITTSYLVANIA	82
BATH	14	POWHATAN	23
BEDFORD	83	PRINCE EDWARD	41
BLAND	5	PRINCE GEORGE	50
BOTETOURT	112	PRINCE WILLIAM	222
BRUNSWICK	2	PULASKI	182
BUCHANAN	77	RAPPAHANNOCK	4
BUCKINGHAM	26	RICHMOND COUNTY	16
CAMPBELL	78	ROANOKE COUNTY	171
CAROLINE	34	ROCKBRIDGE	105
CARROLL	63	ROCKINGHAM	369
CHARLES CITY	11	RUSSELL	167
CHARLOTTE	23	SCOTT	100
CHESTERFIELD	464	SHENANDOAH	96
CLARKE	13	SMYTH	112
CRAIG	1	SOUTHAMPTON	76
CULPEPER	162	SPOTSYLVANIA	265
CUMBERLAND	12	STAFFORD	324
DICKENSON	79	SUSSEX	5
DINWIDDIE	20	TAZEWELL	322
ESSEX	5	WARREN	116
FAIRFAX COUNTY	178	WASHINGTON	229
FAUQUIER	62	WESTMORELAND	33
FLOYD	14	WISE	121
FLUVANNA	33	WYTHE	144
FRANKLIN COUNTY	263	YORK	128
FREDERICK	230	ALEXANDRIA	2
GILES	62	BRISTOL	199
GLOUCESTER	71	BUENA VISTA	42
GOOCHLAND	15	CHARLOTTESVILLE	26
GRAYSON	64	CHESAPEAKE	644
GREENE	1	COLONIAL HEIGHTS	93
GREENSVILLE	34	DANVILLE	170
HALIFAX	112	FREDERICKSBURG	162
HANOVER	225	HAMPTON	111
HENRICO	595	HARRISONBURG	1
HENRY	152	HOPEWELL	57
HIGHLAND	2	LYNCHBURG	124
ISLE OF WIGHT	27	MARTINSVILLE	43
JAMES CITY	5	NEWPORT NEWS	135
KING & QUEEN	18	NORFOLK	368
		PETERSBURG	368 27
KING GEORGE	30		
KING WILLIAM	17	PORTSMOUTH	176
LANCASTER	8	RADFORD	46
LEE	134	RICHMOND CITY	237
LOUDOUN	83	ROANOKE CITY	98
LOUISA	70	SALEM	89
LUNENBURG	3	STAUNTON	148
MADISON	18	SUFFOLK	170
MATHEWS	12	VIRGINIA BEACH	619
MECKLENBURG	61	WAYNESBORO	99
MIDDLESEX	10	WILLIAMSBURG	95
MONTGOMERY	127	WINCHESTER	148
		Total	12492



Added July 1, 2021

### Final Decision/Disposition To be completed by the sentencing judge or judge's designee.

♦ DECIS	SION OF THE COURT —	_
☐ Fo	und in Violation of Conditions as Cited	
☐ Fo	und in Violation of Conditions as Modified by the judge: Conditions Violated:	
☐ Fo	und in Violation of good behavior, suspended sentence, felony local probation	
4/44	ken Under Advisement	
☐ No	t in Violation	
◆ SENTI	ENCE FOR REVOCATION ————————————————————————————————————	
Reha	bilitation Potential	
□ <u>C</u> ₀	urt Finds that the defendant is a good candidate for rehabilitation (The low end of the guidelines is set to time served or zero)	
Treat	ment Exception	
☐ <u>Se</u>	ntenced to time required to participate in court ordered program/restitution review (§ 19.2-306.1 (D))	
Revo	cable Time for Event	
☐ Life	e +	
Revo	cation Details	
Amour	nt of Time Imposed Life + Life + Sente	enced
Amour	nt of Time to Serve for this Violation (total effective sentence)  Life + Serve	E
New/F	Revised Probation/Supervised Period	
☐ Co	ntinued on same period of probation supervision (not extended)	
☐ Pla	ced on a probation supervision for a new period of	
☐ Re	leased from supervised probation	
Cond	itions	

15

9,697

FY22 GUIDELINES (JUL-MAY)
USED TO CALCULATE CONCURRENCE

551 (5.4%)
IDENTIFIED AS HAVING
GOOD REHABILITATION POTENTIAL

#### REHABILITATION POTENTIAL

BASED ON DEPARTURE REASONS,
THE COMMISSION DEVELOPED A NEW FACTOR THAT ESTABLISHES THE LOW END OF
THE GUIDELINES RANGE TO ZERO OR TIME SERVED WHEN THE JUDGE BELIEVES THAT
THE DEFENDANT HAS GOOD REHABILITATION POTENTIAL



Separate from the main analysis, researchers examined the reasons cited by judges for departing from the current Probation Violation Guidelines. In particular, researchers were interested in the judge's assessment of the probationer's rehabilitation potential (good or poor) and the extent this was cited as the reason for departing from the guidelines. To do this, the analysts examined all FY2014-FY2019 SRR cover sheets (not just cases selected for the study sample). This analysis grouped offenders by judicial departure reasons - either good rehabilitation potential, poor rehabilitation potential, or neither cited - and compared effective revocation sentences for each group. Figure 48 shows the results. The median, mean, and maximum sentence for cases in which the judge cited good rehabilitation potential as the reason for departing from the PVGs are significantly lower than for the groups with poor potential or no such departure noted. Of particular note, the median or "typical" case with good potential noted received a sentence of zero (or time served). Based on these findings, the Commission concluded that a new factor could be added to the PVGs to allow the judge to adjust the low-end recommendation to "time served" (i.e., zero) if the judge finds the probationer has good rehabilitation potential. Because this factor would be based on judicial determination rather than currently available data sources, it is not possible to estimate the proportion of the study sample who would have been scored on this factor or how it might interact with other factors. Therefore, it was constructed as a standalone factor which does not contribute points to the total worksheet score. *VCSC Annual Report*, December 1, 2020, page 59.

## CONCURRENCE FY 2022

TYPE VIOLATION	CONCURRENCE	MITIGATION	AGGRAVATION	N
Technical First	97.8%	0.0%	2.2%	1,335
Technical Second	97.9%	0.0%	2.1%	700
Technical Third +	73.8%	22.5%	3.7%	454
Technical 9 or 11 First	97.0%	0.0%	3.0%	1,039
Technical 9 or 11 Second +	73.1%	22.7%	4.2%	238
Special Conditions	79.4%	16.2%	4.4%	1,398
New Misdemeanor	84.7%	11.1%	4.2%	2,296
New Felony	78.6%	14.5%	7.9%	2,780
TOTAL	85.4%	10.2%	4.4%	10,240

(8 missing information)

#### **CONDITIONS OF STATE SUPERVISED PROBATION – FY2017-FY2021**

FY2017	CONDITION	CONDITION	Preliminary FY2022
to FY2021	NUMBER	DESCRIPTION	112022
43.7%	1	Fail to obey all Federal, State, and local laws.	48.7%
6.1%	2	Fail to report any arrests within 3 days to PO.	7.3%
1.7%	3	Fail to maintain employment or to report changes.	1.2%
10.7%	4	Fail to report as instructed	11.4%
6%	5	Fail to allow probation officer to visit home or job.	.5%
46.1%	6	Fail to follow instructions, be truthful, and cooperative.	48.8%
2.8%	7	Use alcoholic beverages	1.8%
49.7%	8	Use, possess, distribute controlled substances	49.5%
0.7%	9	Use, own, possess, transport or carry firearm	1.1%
12.9%	10	Change residence or leave Virginia without permission	13.2%
24.9%	11	Abscond from supervision	30.3%
17.8%	12	Fail to follow special conditions (specify)	20.2%

